# Time constants of corneal molding recovery following ortho-k lens wear

Douglas G. Horner, O. D., Ph. D. Robert B. Mandel, O. D., Ph.D.(\*) Karen S. Armitage, B. S. Katharine A. Wormsley, B. S. P. Sarita Soni O. D., M. S.

# Introduction

Prevalence estimates from the 1972 National Health and Nutrition Examination Survey indicates that 25 percent of persons in the United States between the ages of 12 and 54 years are invopic<sup>1</sup> The correction of invopia has been quite successful with conventional spectacles and contact lenses. Patients with myopia have expressed annovance and discomfort with these appliances. They continue to desire normal and unencumbered vision without dependence on traditional appliances. Therefore, eye care practitioners continue to study the development of myopia and procedures wich may seliminate or reduce the refractive error.<sup>2</sup>

As early as 1957 there was discussion that myopia ceased to progress following rigid contact lens wear.<sup>3</sup> Patients have also reported seeing better. after the removal of their rigid contact lenses.<sup>17</sup> Lite in the 1960's several definitions of what today is called orthokeratology, the process of flattening the cornea with a series of specially designed lenses for the reduction of myopia, were formed. One such early definition was by Kerns,

it stated sa purposeful attempt to modify the corneal curvature to result in a reduction or elimination of refractive anomaly by a programmed apllication of lenses». 8.9.

Kerns, Binder et al, the Berkeley Orthokeratology Study Group, and Coon all performed separate orthokeratology prospective studies in the late 1970's and early 1980's. Binder et al found the greatest mean reduction in myopia of  $1.52$  D in patients with  $2.5$  D or greater of myopia, but a mean reduction of only 0.30 D in low myopes with less that 2.5D. The majority of these effects were seen with 9 months.<sup>10</sup> Kerns reported a mean reduction in myopia of 1.25 D after 900 days of treatment.<sup>9</sup> Coon reported a maximun change of slightly less than 1.00 D of myopia over a 5 month period.<sup>11</sup> Polse et al. from the Berkelev Orthokeratology Study Group, reported a mean reduction of 1.01 D in patients whose myopia ranged from 1-4D. This was achieved with a treatment period of 444 days<sup>1245</sup>

The studies discussed previously all vary in the time course of the orthokeratology treatment, but the «results from the Berkeley Orthokeratology Study Group and Bender et al suggest that the majority of the reduction in myopia will occur in the first six months of lens wear<sup>2</sup> Conventional lenses were used in these early studies fitting them. flatter than the flattest K by a determined aniount. or in Coon's study steeper than the flattest K.

School of Optionetry Indiana University Bloomington Indiana (1) Selficed of Optionierty, University of California, Berkeley

Canternal

Dent consecutives to

Dr. Homer Indiana University School of Optometry SOCE. Atwater BANYOUNDENT IN 47405 Office, (812) 855-9101 Fax, (812) 855-6616

A report of an 0.80 D increase in corneal toricity The subjects in group II had been wearing the by Binder et al<sup>10</sup> and a report by Kerns of an aver-<br>OK-3<sup>TM</sup> as a retainers as needed for the mainteage astigmatism increase by  $0.42D^{14.15}$  suggests nance of their myopic reduction. The retainer that orthokeratology induces unwanted astigma-<br>lenses were the last pair of lenses in a series of that orthokeratology induces unwanted astigma-<br>
tism. Development of newly designed lenses spe-<br>
progressively flatter lenses (usually three) worn cifically for orthokeratology have helped stabilize to maximize the and<br>the contact lens on the cornea. This eliminates myopia reduction. the contact lens on the cornea. This eliminates induced astigmatism and results in <sup>a</sup> more effective reduction of myopia.<sup>2</sup> Such a lens is the OK- Materials: 3<sup>™</sup> produced by Context Inc. This lens is designed with the secondary curve steeper than the base The  $\overline{OK}$ -3 M lens have an overall diameter of curve allowing for better lens centration and sta-<br>bility.<br>wide secondary curve that is 3.00 D, steeper than

lenses, we found a mean reduction of 0.85 D in central cornea, and provide a tear reservoir in the myopia after wearing the lenses for 4 hours, and initial stages. reductions in myopia as large as  $7.0 \, \mathrm{D}^{16}$  have previously been reported in patients that have worn Procedures: these lenses for an extended period of time. One question that seems germane to Orthokeratology Subjects in group I (following consent) were being considered a reasonable regimen for reduc-<br>ing myopia with the OK- $3^{TM}$  lenses or other de-<br>nattern evaluation with OK- $3^{TM}$  rigid gas nermesigns is: « Does long term wear of these contact 1enses produce changes in the cornea that are mately 1.5 D flat to yield the standard peripheral<br>
permanent?» The question of the stability of the clarance mid-peripheral alignment relationship permanent?» The question of the stability of the clarance, mid-peripheral alignment relationship<br>corneal changes needs to be investigated. To date required to manintain normal tear movement<br>we are unaware of any stadies th We are unaware of any stadies that examine the under the lenses. Each subject wore their lens for dynamics of these changes in corneal topograghy  $\frac{1}{2}$  and 4 hour periods with each session sepafollowing rigid contact lens wear. This study was rated by at least one week and randomized. Predesigned to investigate the time course of corneal ceding insertion of the lens, baseline video changes and the nature of the corneal recovery<br>
after lens wear has been discontinued.<br>
Modeling System (TMS) Following lens removal

# Subjects:

for this investigation. Group included seven subjects who previously had not worn rigid lenses subjects slept with their lenses. These subjects for the purpose of orthokeratology. Group II con-<br>were instructed to not wear their lenses the mornsisted of three subjects who had worn the  $OK-3^{TM}$  ing of their appointment. Video keratoscopy was

 $\text{OK-3}^{\text{TM}}$  as a retainers as needed for the mainteprogressively flatter lenses (usually three) worn<br>to maximize the amount of central flatterning and

wide secondary curve that is 3.00 D. steeper than In our studies of patients wearing the OK-3 TM serves to stabilize a flat fitting relationship to the

pattern evaluation with OK-3<sup>TM</sup> rigid gas perme-<br>able contact lenses. The lenses were fit approxi-1, 2, and 4 hour periods with each session sepa-Modeling System (TMS). Following lens removal after the 1, 2 or 4 hour treatment periods, this measure was repeated when the lenses were re-Methods moved and every 30 minutes for the first hour and every hour thereafter.

The subjects in Group ll were instructed to Two groups of patients were served as subjects wear their lenses in their standard fashion on the r this investigation. Group included seven sub-<br>
r this investigation. Group included seven sub-<br>
ay preceding their appoime lenses for one year. performed preceding <sup>a</sup> <sup>2</sup> hour treatment period of lens wear. After the 2 hour treatment period, video keratoscopic data was colleted at the same intervals with Group I. Data collected after the 2 hour treatment period was then compared to the original baseline of the subjects which was on file from their initial visit one year earlier before the orthokeratology lenses were dispensed. The original baseline was chosen to be used in the analysis because all subjects in Group II showed significant differences in their video keratoscopy between baseline defined a year earlier and the measures made before the 2 hour treatment.

### **Analysis**

At each testing time four video keratoscopic pictures were taken. Since alignment is critical on the TMS instrument, the selection of the processed keratograph (color map) to be used for the longitudinal analysis was made from notes made by the examiner operator and by inspecting all four keratographs simultaneously. The selection of the examiner was checked as being a representative color map for the four color maps produced for each test time. The longitudinal data for the analysis below was then taken from two location on each of the representative color maps. Since calibration data by our lab and others have demostrated that the estimates of curvature in the central 0.5 mm of TMS color maps is requestionable, we selected two locations for comparison outside the central 0.5 mm of the color maps. The selection was made by comparing the appropriate baseline pre-treatment map to the representative color map acquired at the end of the treatment period. We selected one location representing the steepening of the cornea typically found in the more peripheral cornea and one location was selected representing the typically central flattening. The difference maps, a computer generated comparison, was used for the identification of the flattened and steepened position. The specific location was found by manually searching the difference map in the most obvious flattened or steepened area. Once the position was determine it was recorded in terms of meridian and distance from the center of the color maps. The difference map comparison was done on a 80286 clone with our own software. Manual searching is not supported in the TMS difference software so our own software was written to accomplish this task. After these locations were determined from the comparison of the baseline and first post treatment color maps, the locations were then examined in all representative color maps acquired during the course of the longitudinal testing.

The absolute powers (expressing an estimate of the local radius of curvature) found by reading each color map for each subject's specific locations on their representative color map were converted to relative changes in curvature. To quantify the rate of recovery, an exponential curve was fitted (by minimizing chi square) to the data corresponding to the following formula:

 $y = dmax$  \* exp(ma\*M0)

where dmax= the maximum change attained.

 $MO =$  the time of each measure, and

 $M1$  = time constant

The percentages of recovery per hour (prph), an expression of the slope of the recovery, were computed for each patient's steep and flat data by first computing the power change still present after one hour:

 $d = dmax' exp(m1<sup>*</sup>1)$ 

where d= change after one hour of recovery

and then defining the prph by:

prph =  $(dmax-d)$  / dmax \*100

The time to 95% recovery was also computed

# Results

Corneal flattening was noted in both groups of patients in all of the treatment periods. This was generally confined to central and paracentral regions of the cornea. All subjects also showed <sup>a</sup> compesating steep area with a characteristic crescent shaped area found inferior specially. Representative color maps of corneal topography for cent shaped area found inferior specially. Repre-<br>
sentative color maps of corneal topography for<br>
one subject at (a) baseline and post treatment<br>
times of (b) 0, (c) 3 and (d) 7 hours are shown in<br>
figure 1. Dramatic cha figure 1. Dramatic changes in the corneal topography can be seen inmediately after lens removal when figure <sup>1</sup> <sup>a</sup> and b are compared. A gradual return toward the baseline topography is seen. The locations that were used to analyze these color maps were at 292 deg., O9 mm from center for the flattened data set and 162 deg, 2.7 mm from center for steepened location. These locations are shown in figures <sup>1</sup> <sup>a</sup> and b respectively. The position is shown by the location of the plus sign cross hair in each color map (fig. 1A, 1B, 1C, 1D).

Figure 2 shows the dioptric change relative to Figure 2 shows the dioptric change relative to<br>the baseline data of the steepened position plot-<br>ted as a funtion of time after treatment (in hours)





 $\frac{ESC - Esit}{SMOTH = 1}$  Figure 1A, 1B, 1C, 1D. Repreesntative color maps showing cor- $75$   $\frac{1}{90}$  120  $\frac{1}{100}$   $\frac{1}{100$  $(c)$  3 and  $(d)$  7 hours.

Figure 2 shows all of the entire curve for the four hour treatment data for the subject shown in figure 1. The largest local change was seen as expected at time zero, inmediately after lens removal. This was approximately 1.6 D of steepening. The relative change was 0.8 D 2 hours after lens removal. A steady return to the baseline is illustrated on this graph. Seven hours after lens removal the relative change had recovered only 0.1 D of steepening (figure 2.)



Figure 2. The relative change in diopters of the local steep are for a four hour treatment period plotted as a function of timme for recvery in hours.

(Tables 1, 2, and 3) show the maximum local change, percent recovery per hour, and t95 values for each of the subjects in group I. Table 1 shows these measures for the 1 hour treatment and table 2 and 3 show the measure for the 2 and 4 hour treatments respectively. The f and s following each of the subjects identifying initials corresponds to the data acquired from locations that were initial falttened (f) or steepened (s) by the contact lens treatment. Due to a significant amount of inter subject variability both the mean and median are reported on the tables below. This variation was especially noted on the t95values.

Figure 3 shows the mean maximum change in diopters for the three treatment conditions of Group I and also includes the data from Group II for comparison. It can be seen from this figure

<b>SUGJETS</b>	t95	<b>MAX CHANGE</b>	<b>PRPH</b>
BL-S	6.1738	0.9600	38.437
BL-F	1.1704	0.9400	92.261
DP-S	8.8042	0.9400	92.261
DP-F	2.7281	O. 9500	66.641
TH-S	8.8775	0.3500	28.640
TH-F	4.2350	1.2700	50.697
CH-S	3.6880	1.9400	55.607
CH-F	4.2112	1.5950	50.895
CT-S	4.1001	0.8400	51.832
CT-F	4.4021	0.5850	49.356
KW-S	6.7608	0.9100	35.789
KW-F	11-588	2.3750	22.776
KA-S	7.9845	1.1950	31.278
KA-F	0.9766	0.9200	95.343
MEAN	5.4072	1.1086	49.885
<b>MEDIAN</b>	4.3186	0.0945	50.027

Table 1. Shows the individual 1 hour treatment data time to 95% recovery (T95), maximum dioptric change and the percent recovery per hour (prph) for each of the subjects in group I for the steepened (s) and flattened (f) location.



Table 2. Shows the same data as table 1 but for the 2 hour treatment.

Table 3				<b>MAXIMUM CHANGE</b>
<b>3 HOUR DATA</b>				
<b>SUGJETS</b>	t95	<b>MAX CHANGE</b>	<b>PRPH</b>	3.5
BL-S	6.3712	3.9350	37.505	3
BL-F	5.4804	2.3450	42.102	
DP-S	14.362	0.6450	18.823	2.5
DP-F	8.6252	1.4000	29.336	Change (Diopters) $\overline{2}$
TH-S	108.91	1.5700	2.712	1.5
TH-F	12.235	2.0650	21.714	Mean <sub>1</sub>
CH-S	7.8365	1.6150	31.763	
$CH-F$	8.2183	1.3400	30.541	0.5
CT-S	8.9126	1.3500	28.541	0
CT-F	21.581	1.7700	12.958	1 hour 2 hour 2 hour @ 1yr 4 hour
KW-S	3.8690	2.3000	53.888	<b>Treatment Time</b>
KW-F	5.9267	2.5600	39.670	
				Figure 3. The mean maximun local change in diop
KA-S	17.403	1.5600	15.810	each of the four treatment conditions in group I and g
KA-F	6.2819	1.5150	37.921	plotted as a function of treatment time in hours.
<b>MEAN</b>	16.858	1.8550	28.806	of just over 40% for group I. A steady dimin
<b>MEDIAN</b>	8.4218	1.5925	29.939	the prph as the wearing time increases c

change for each of the specific treatment periods it can be seen than the mean local change in-<br>Study, showed an even more marked decrease in<br>the prph than was found in group I for the same creased as the wearing time increased. A 2 hour treatment period of 2 hours (figure  $4$ .) treatment time produced a mean maximum and real parties of corneal recovery change of approximately 1.4 D, and the 4 hour  $\vert$  80 treatments produced almost <sup>2</sup> D of change. This <sup>10</sup> <sup>~</sup> <sup>6</sup> -~» <sup>e</sup> ~~ W" increase in the mean local change with increase<br>treatment period continues for long term wear-<br>ers of group II. The maximum change in group II<br>was approximately 1.5 D more than the maximum<br>change of group 1 with the same treatment period continues for long term wearers of group II. The maximum change in group II was approximately 1.5 D more than the maximum change of group <sup>1</sup> with the same treatment time. The 1.5 D approximates the difference between the two possible baseline reading for group II (figure 3.)  $\frac{1}{2}$  10

In figure 4 the rates of corneal recovery in percentage recovery per hour were plotted as a function of different treatment time and group. After<br>a 1 hour treatment the mean prph was 50% per hour. A 2 hour treatment period revealed a prph $\frac{\text{pericimage}}{\text{ment time}}$ .



Figure 3. The mean maximun local change in diopters for each of the four treatment conditions in group I and group II plotted as a function of treatment time in hours.

of just over 40% for group I. A steady diminish in  $\frac{\text{MEDNN}}{\text{Table 3. Show the same data as table 1 for the 4 hour treat}}$  the prph as the wearing time increases can be Table 3. Shows the same data as table 1 for the 4 hour treat-<br>ment<br>each treatments. A prph of less than 30% is seen that wearing the OK-3 lens for a 1 hour treatment<br>time produced slightly over 1D of mean local<br>change. By comparing the average maximum<br>orthokeratology lenses for 1 year previous to this



Figure 4. Same as figure 3 but the rates of corneal recovery in percentage recovery per hour (prph). as a function of treat-

Figure 5 shows a graph of time to 95% corneal recovery, t95, (combining the steep and flat calculated data) for the various treatment times and groups. The inter subject variability was most evident on the t95 values, therefore the median was reported in this figure (see table 2). The t95 value was just over 4 hours for a 1 hour wearing time. A treatment period of 2 hours showed a t95 of approximately 6 hours, and an even further increase was seen after a 4 hour treatment time where the t95 was approximately 8 hours. It is evident that the t95 value increased as the wearing time increased. Group II showed an even further increase in the t95 than did group I (subjects with no previous orthokeratology) for the 2 hour time period. The t95 for group II was aprroximately 20 hours compared to a group I t95 of 6 hours the same treatment time  $(Figure 5)$ .



Fgure 5. Same as figure 3 for (combined flat and steep) median times to 95% recovery in hours, t95, plotted as a function of treatment time

We also examined whether the percent recovery per hour could be predicted from the maximun change in local topography. Figure 5 shows the prph plotted as a funtion of the maximum change of each subject for the three acute conditions of group 1. The idea that if the maximum local change was known then the duration of the effect could be predicted from that does not hold up statistically. It can be seen than there is very significant scatter in the data and a linear model does not fit the data very well (figure 6).



Figure 6. The prph plotted as a function of the maximum change of each subject for the tree acute conditions.

When one compares the maximun local change, percent recovery per hour, and the t 95 values of group I to group II one could conclude that there is a significant difference in the effects of a 2 hours treatment period between two groups. In this data for group I, the baseline used in all comparison was taken the day of the treatment just before lens insertion. Two different baseline references were avaible for group II. If the video keratoscopy measured just before the 2 hour treatment were used, much less diferrence would be demostrated between the 2 hour treatment data between groups. We chose to express the data from the original baseline measured before lenses were worn a year earlier. We chose this reference because it reflects the cornea be for lens wear began and gives an indication that there are long lasting changes occurring due to lens wear. An overnight period of not wearing the lenses was not sufficient time for the cornea to return completely to its original one year earlier shape.

# Discussion

As far back as 1957 there has been reports of alterations in refractive error following rigid con tact lens wear. This process of corneal flattening

with rigid lenses, called orthokeratology, prior to the current novel lens design of the OK TM lenses has been studied on several different occasions with similar modest results. Our results with the OK TM suggest that easily two diopters of flattening can be achieved routinely in a shorter interval of time than previous reports. Our study on the dynamics of the corneal topography follow. ing wear of the OK-3TM lenses has revealed several interesting conclusion. Group I, no previous lens wear, showed a significantly faster recovery time back to baseline than did group II. In group II, baseline the morning of treatment still revealed flattening of the cornea compared to original baseline, previous to any lens wear. This indicates that a permanent change in corneal topography is possible with continuation of orthokeratology treatments.

In the early studies discussed previously, induced astigmasm was reported. Theses early studies used conventional rigid lenses. We found no induced astigmatism when subjects were fit with lenses designed for orthokeratology purposes. The mean local change after a 1 hour treatment period was 1.0D. The cornea is capable of rapid alterations in a fairly short time period. Corneal changes of several diopters would be an obtainable goal with increased treatment times and proper lenses to retain the reduction in myopia as needed. These times needed to archieve significant reductions in myopia are reasonable and would not be impractical for the doctor or the motivated patient. When the prph is plotted against the maximum change, no relationship is seen. Therefore, it can be said that the amount of change present after a treatment period can not be used to predict how the effect of corneal flattening will last after lens wear is discontinued.

Orthokeratology offers those with myopia an alternative to the traditional methods of correction. The reduction seen may completely correct the refraction error (in low myopia) or may provide funtional vision witout correction for moderate to high myopia.

# **References**

1. National Research Council (U.S) Working Group: Myopia: Prevalence and Progression, 1989

2. Soni PS, Horner DG: Orthokeratology; chapter to be published Clinical Contact Lens Practice, ed: Bennett ES, Weisman BA, 1993

3. Dickinson F: The Value of Microlens in the Progressive Myopia. The Optician 133:263-264, 1957

4. Rengstroff RH: Contact Lenses and After-Effects: Some Temporal Factors which Influence Myopia and Astigmatism Variations. JAm OptAssoc 45(6):364-373, 1968

5. Renastroff RH: Variations in Corneal Curvature Measurements: An After-Effect Observed with Habitual Wearers of Contact Lenses. JAm Optom Arch Am Optom 46 (1): 45-51, 1969

6. Grant SC, May CH: Orthokeratology Control of Refractive Errors through Contact Lenses. J Am Optom Assoc 42:1277-1283, 1971

7. Rengstroff RH: Variations in Myopia Measurements: An After-Effect observed with Habitual Wearers of Contact Lenses. J Am Optom Arch Am Acad Optom 44(3): 149-161, 1967

8. Kerns R: Research in Orthokeratology, PArt VI-Statistical and Clinical Analysis. JAm Opt Assoc 49(9): 1134-1147-1977

9. Kerns R: Research in Orthokeratology, Part VIII-Results, Conclusions and Discussion. J Am Opt Assoc 49(3): 308-314, 1977

10. Binder P, May C, Grant S: An Evaluation of Orthokeratology. Am Acad Ophthalmol 87(8):729-744, 1980

11. Coon LJ: Orthokeratology part II: Evaluating the Tab method. J Am Optom Assoc 55(6): 409-418, 1984

12. Brand RJ, Polse KA, Schwalbe JS: The Berkeley Orthokeratology Study, Part I: General Conduct of the Study. Am J Optom Physiol Opt 60(3): 175-186, 1983

13. Polse KA, Brand RJ, Schwalbe JS, Vastine DW, 15. Kems R: Research in Orthokeratology , Part V-<br>Keener RJ: The Berkeley orthokeratology study, part II: Results andObservations Recovery Aspects. J Am Opt efficacy and duration. Am J Optom Physiol Opt 60(3): 187-198, 1983

Examination of Techniques, Procedures and Control. J Keratotomy. Ophtha<br>Am Opt Assoc 48(12): 1541-1553, 1977 gest 3:42-45, 1992 Am Opt Assoc 48(12): 1541-1553, 1977

Results and Observations Recovery Aspects. J Am Opt Assoc 48(3): 345-357, 1977

16. Horner DG, Wheeler WH, Soni PS, Gerstman DR, 14. Kerns R: Research in Orthokeratology, Part VII-<br>
Heath GG: A Noninvasive Alternative to Radial (and Theorical Di-<br>
Keratotomy. Ophthalmic and Visual Optics Technical Di-